

# **SDDCO Regulatory Services LLC**

## **Welcomes New Hire**

### **Julian Krukowski, Associate Director**

March 11, 2015



SDDCO Regulatory Services LLC, a subsidiary of The SDDCO Group ([www.sddco.com](http://www.sddco.com)), welcomes senior regulatory compliance executive, Julian Krukowski, as Associate Director.

#### **About Julian Krukowski**

Julian Krukowski has sixteen years of management experience in the financial services industry. His expertise includes applying government regulations, interfacing with various governmental compliance auditors, performing internal audits, and interpreting and implementing written policies and procedures to ensure corporate adherence to industry best practices.

Past positions include Senior Vice President and Deputy Compliance Director of Dominick & Dominic, LLC, an SEC registered investment adviser/broker-dealer; Chief Compliance Officer of The Benchmark Company, LLC, a broker-dealer comprised of 90 registered representatives in 11 offices; Senior Compliance Officer of Pali Capital, LLC, and Compliance Director of Pelion Securities, Corp.

After earning his B.S. in Business Administration from Rider University, Julian became FINRA registered in Series 4 Registered Options Principal, Series 7 General Securities Representative, Series 24 General Securities Principal, Series 63 Uniform Securities Agent State Law, and Series 87 Research Analyst.

#### **REPLACE QUOTE**

“Julian has spent the last sixteen years honing his compliance tool box,” said Hale Halasy, SDDCO-RS partner. “His broad industry experience and academic and practical mastery of securities regulation bolsters an already deep bench at SDDCO-RS. I am proud that Julian has decided to join our team, and our clients will absolutely benefit from his experience, temperament, and dedication to fostering a culture of compliance.”

#### **About the Associate Director Role**

In his role as Associate Director, Julian provides a deliberate and consultative approach to regulatory compliance and is uniquely prepared to deliver consistent and reliable advice to our valued clients.

#### **About The SDDCO Group**

Formed in 1952, The SDDCO Group extends outsourced support to financial services industry. Clients include investment advisers, broker-dealers, commodity brokers, privately held funds, and U.S. arms of foreign banks. Services include SEC, CFTC, NFA and FINRA registrations, accounting and bookkeeping services, FinOp support, tax compliance, regulatory compliance, AML independent testing, and broker-dealer operations. Licensed SDDCO professionals also assume CCO and CFO roles on an intensified outsourced basis. Send general inquiries to "Ask Us" on the company website.

#### **SDDCO Locations**

NEW YORK (HQ) ~ BOSTON